



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/674167	NAMBU	N
0052/036001		

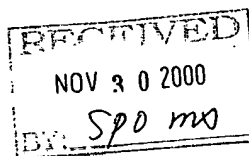
RANDOLPH A. SMITH
SMITH PATENT OFFICE
1901 PENNSYLVANIA AVENUE N W
SUITE 200
WASHINGTON, DC 20006

INTERNATIONAL APPLICATION NO.	
PCT/JP99/02052	
I.A. FILING DATE	PRIORITY DATE
16 APR 99	30 APR 98
DATE MAILED: 29 NOV 2000	

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494).
☒ an Elected Office (37 CFR 1.495):
☒ U.S. Basic National Fee.
☒ Copy of the international application in:
☒ a non-English language.
☐ English.
☒ Translation of the international application into English.
☐ Oath or Declaration of inventors(s) for DO/EO/US.
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.
☒ Preliminary amendment(s) filed 27 OCT 2000 and _____
☐ Information Disclosure Statement(s) filed _____ and _____
☐ Assignment document.
☐ Power of Attorney and/or Change of Address.
☐ Substitute specification filed _____
☐ Verified Statement Claiming Small Entity Status.
☒ Priority Document.
☒ Copy of the International Search Report ☒ and copies of the references cited therein.
☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

- ☐ PCT/DO/EO/917
☐ PTO-875
☐ Notice of Defective Translation
FORM PCT/DO/EO/905 (December 1997)

Francine Young
Telephone: 703-305-3662

Rec'd PCT/PTO

09/674,167
28 DEC 2000

#3

C. B. B. H.

PATENT

ATTORNEY DOCKET NO. 0052/036001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nobuyoshi NAMBU et al.
Serial No.: 09/674,167
U.S. Filing Date: October 27, 2000
Title: CHELATE-FORMING FILTER, PROCESS FOR PRODUCING THE SAME,
AND METHOD OF PURIFYING LIQUID USING THE FILTER
International Application No.: PCT/JP99/02052
International Filing Date : April 16, 1999
Priority Date : April 30, 1998

ATTENTION: Box PCT

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35

USC 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

(DO/EO/US) AND SUBMISSION OF DECLARATION

Sir:

In response to the Notification of Missing Requirements under 35 USC 371 in the United States Designated/Elected Office mailed November 29, 2000, a copy of which is attached, applicants submit attached hereto an executed Combined Declaration and Power of Attorney.

Also attached is a check for \$65.00 for filing the declaration after thirty months from the priority date.

If this check should become detached or be for an insufficient or incorrect amount to effect timely entry of the attached Declaration and Power of Attorney, the Commissioner is

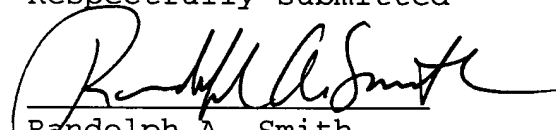
RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)
AND SUBMISSION OF DECLARATION
Serial No. 09/674,167 . Page 2/2

authorized to charge or credit, as appropriate, the Deposit
Account of the undersigned, No. 19-2586, ref. 0052/036001.

If there are any questions regarding this application,
please telephone the undersigned at the telephone number listed
below.

Respectfully submitted

Date: December 28, 2000


Randolph A. Smith
Reg. No. 32,548

SMITH PATENT OFFICE

1901 Pennsylvania Avenue, N.W.
Suite 200, Washington, D.C. 20006
(202) 530-5900 (phone)
(202) 530-5902 (fax)
Nambu122800